

September 2020 Quarterly Compliance Report

Understanding the Administrator's Quarterly Compliance Report

Clause B102 of the National Health Reform Agreement 2011 requires the Administrator to publish details of Commonwealth, State and Territory compliance with the data requirements specified in the [Administrator's Three Year Data Plan 2020-21 to 2022-23](#). Clause A152 of the Addendum to the National Health Reform Agreement 2020-21 to 2024-25 requires the Administrator to publish additional information in the compliance reports.

The format of the Quarterly Compliance Reports follows a standard structure, with relevant data requirements reflected in each quarter. Further information on requirements can be found in the [Administrator's 2020-21 Data Compliance Policy](#).

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Executive Summary

The postponement of the Federal Budget 2020-21 due to the COVID-19 pandemic has also seen a deferral in State and Territory budgets, resulting in the delay of 2020-21 Service Agreements between States and Territories and Local Hospital Networks. Whilst it is noted in the September 2020 Quarterly Compliance Report that the majority of 2020-21 Service Agreements have not been submitted to the Administrator, it is acknowledged that the quality and timeliness of submissions has improved over time.

Monthly reporting submissions for September 2020 have also improved with 7 out of 8 States and Territories submitting reports before or on the due date of the 15th of October, with an average submission time of 14.6 days, compared to 28.4 days in the prior year.

The reconciliation submissions for the 2019-20 year have not improved on the prior year with 87.5% of Submission A and 62.5% of Submission B submitted on time from States and Territories, compared to 100% in the prior year for both submissions. Statements of Assurance accompanied 5 out of 9 submissions, compared to 8 out of 9 in the prior year (including the Commonwealth).

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Data cycle

Data Plan

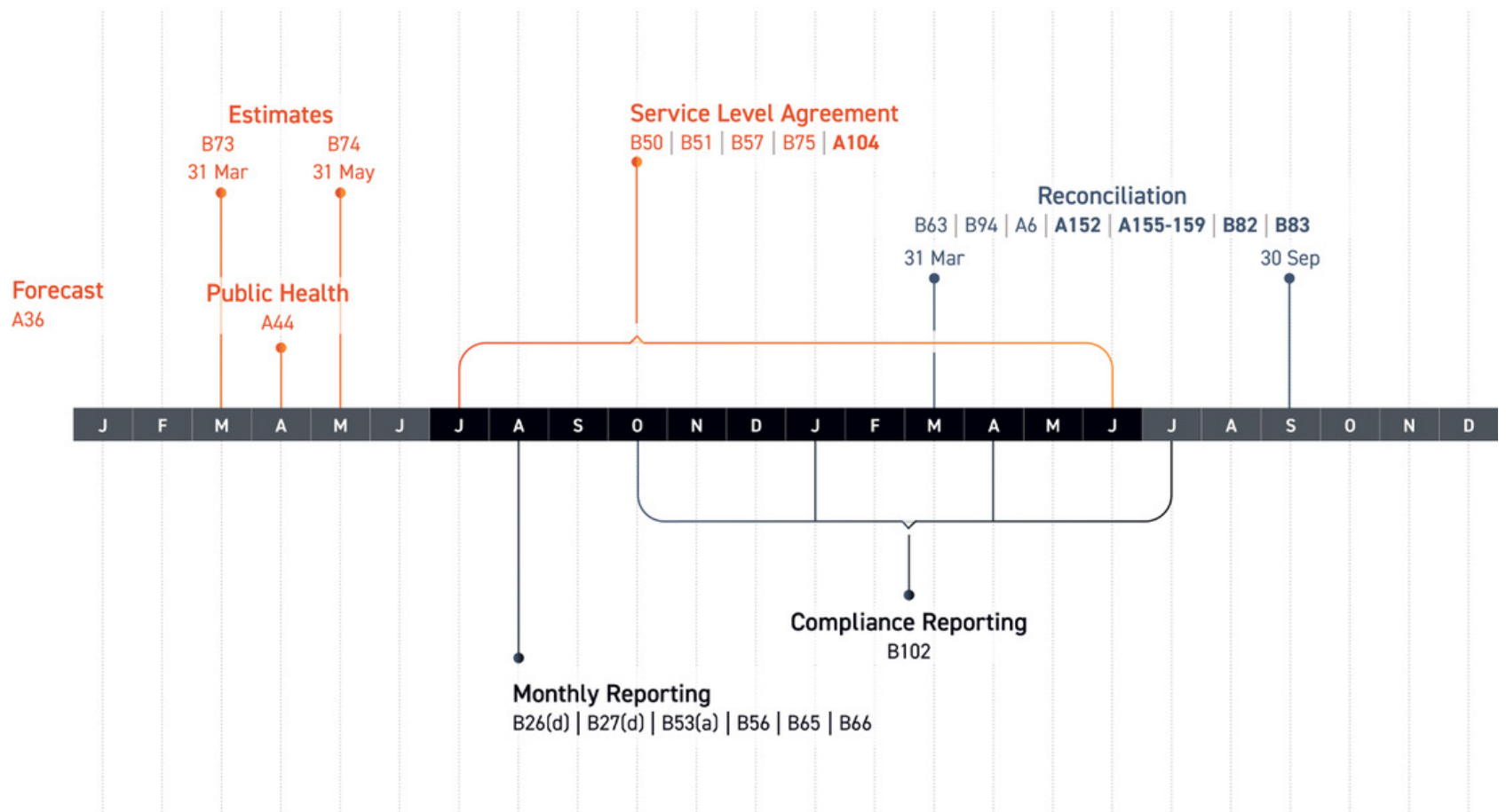
| |
|-------------|
| B85 |
| B86 |
| B88 |
| B91 |
| B92 |
| B93 |
| B95 |
| A154 |

Key

Agreement
| regular |

Addendum*
| bold |

* 2020-25 Addendum
to the National Health
Reform Agreement



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Table 1: Compliance with the Administrator’s Three Year Data Plan

| Data requirement | Clause^ | Due date | Measurement | CW | NSW | VIC | QLD | WA | SA | TAS | ACT | NT |
|---|------------|----------------|---|-----------|----------------------------|---------------|--------------------|-----------|---------------|----------|---------------|---------------|
| Service Agreements and data provision | | | | | | | | | | | | |
| LHN Service Agreements 2020-21 provided to the Administrator | B75 | Not applicable | Number of agreements submitted | | 15/19 ¹ | 0/43 | 13/15 ⁴ | 6/6 | 0/11 | 1/1 | 0/1 | 0/2 |
| LHN Service Agreements include the number of services to be provided by the LHN | D8(a) | Not applicable | Meets minimum requirements Yes or <u>No</u> | | Yes ² | Not available | Yes | Yes | Not available | Yes | Not available | Not available |
| LHN Service Agreements include the mix of services to be provided by the LHN | D8(a) | Not applicable | Meets minimum requirements Yes or <u>No</u> | | Yes ² | Not available | Yes | Yes | Not available | Yes | Not available | Not available |
| LHN Service Agreements include the level of ABF to be provided to the LHN reported on the basis of the national efficient price | D8(c) | Not applicable | Meets minimum requirements Yes or <u>No</u> | | No ³ | Not available | No | Yes | Not available | Yes | Not available | Not available |
| LHN Service Agreements include the level of Block Funding to be provided to the LHN | D8(c) | Not applicable | Meets minimum requirements Yes or <u>No</u> | | No ³ | Not available | Yes | Yes | Not available | Yes | Not available | Not available |
| Update to estimated LHN NWAU 2020-21 | B51 B57 | Not applicable | Date Submitted or <u>No Update</u> | | 23 Sep 20 | No update | 10 Sep 20 | No update | No update | 3 Sep 20 | No update | No update |
| LHN Service Agreements (Activity Based Funded LHNs only) and estimated LHN NWAU aligned | B51 B57 | Not applicable | Number of agreements aligned | | Not available ² | Not available | 13/15 ⁴ | 6/6 | Not available | 1/1 | Not available | Not available |
| Public Health amounts | A44 | Not applicable | Submitted or <u>Not Submitted</u> | Submitted | | | | | | | | |

Refer to page 7 for comments and footnotes.

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Table 1: Compliance with the Administrator’s Three Year Data Plan (continued)

| Data requirement | Clause^ | Due date | Measurement | CW | NSW | VIC | QLD | WA | SA | TAS | ACT | NT |
|--------------------------------------|--|--------------------------|---|------------------|-------------------------------|-------------------------------|-------------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|
| Reconciliation requirements | | | | | | | | | | | | |
| Submission A (Annual 2019-20) | B63 | 30 Sep | Submitted or <u>Not Submitted</u> | | Submitted | Not Submitted ⁵ | Submitted | Submitted | Submitted | Submitted | Submitted | Submitted |
| Submission B (Annual 2019-20) | B94 | 30 Sep | Submitted or <u>Not Submitted</u> | | Not Submitted ⁵ | Not Submitted ⁵ | Not Submitted ⁵ | Submitted | Submitted | Submitted | Submitted | Submitted |
| MBS and PBS data (Annual 2019-20) | A6 | 30 Sep | Submitted or <u>Not Submitted</u> | Submitted | | | | | | | | |
| Statement of Assurance | B82 of the Addendum B83 of the Addendum | With each submission* | Submitted or <u>Not Submitted</u> | Not Submitted | Not Submitted | Not Submitted | Submitted | Submitted | Not Submitted | Submitted | Submitted | Submitted |
| Data Conditional Payment | A156- A159 of the Addendum | Date applied | Not applied or <u>Applied</u> (if so, when) | | Not Applied ⁶ | Not Applied ⁶ | Not Applied ⁶ | Not Applied ⁶ | Not Applied ⁶ | Not Applied ⁶ | Not Applied ⁶ | Not Applied ⁶ |
| Sentinel Events (Annual 2019-20) | A166 of the Addendum | 30 Sep | Submitted or <u>Not Submitted</u> | | Submitted | Not Submitted ⁵ | Submitted | Submitted | Submitted | Submitted | Submitted | N/A |
| Monthly reports | | | | | | | | | | | | |
| July 2020 | B65-67 | 15 Aug | Date Submitted | | 18 Aug 20 | 2 Sep 20 | 19 Aug 20 | 26 Aug 20 | 14 Aug 20 | 2 Sep 20 | 4 Aug 20 | 20 Aug 20 |
| August 2020 | B65-67 | 15 Sep | Date Submitted | | 24 Sep 20 | 25 Sep 20 | 21 Sep 20 | 25 Sep 20 | 25 Sep 20 | 23 Sep 20 | 25 Sep 20 | 29 Sep 20 |
| September 2020 | B65-67 | 15 Oct | Date Submitted | | 15 Oct 20 | 15 Oct 20 | 15 Oct 20 | 15 Oct 20 | 13 Oct 20 | 16 Oct 20 | 13 Oct 20 | 15 Oct 20 |

Refer to page 7 for comments and footnotes.

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Table 2: Submission and re-submission dates for 2019-20 annual data

| Service category or Data type | Dataset | Submission or re-submission date | NSW | VIC | QLD | WA | SA | TAS | ACT | NT |
|-------------------------------|----------------------------------|----------------------------------|-----------|----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Emergency | ED (ED Patient Level) | Submission | 25 Sep 20 | 7 Oct 20 | 30 Sep 20 | 25 Sep 20 | 22 Sep 20 | 30 Sep 20 | 30 Sep 20 | 30 Sep 20 |
| | | Re-submission 1 | | | 21 Oct 20 | 27 Oct 20 | 27 Oct 20 | | 28 Oct 20 | |
| | ES (ED Aggregate Level) | Submission | N/A | 7 Oct 20 | 30 Sep 20 | 25 Sep 20 | 27 Oct 20 | 30 Sep 20 | N/A | N/A |
| | | Re-submission 1 | | | | | | | | |
| Acute admitted | Admitted Patient Care (APC) | Submission | 25 Sep 20 | 7 Oct 20 | 30 Sep 20 | 29 Sep 20 | 30 Sep 20 | 30 Sep 20 | 29 Sep 20 | 30 Sep 20 |
| Mental health | | Re-submission 1 | 30 Oct 20 | | 1 Oct 20 | 27 Oct 20 | 28 Oct 20 | 21 Oct 20 | 28 Oct 20 | |
| Sub-acute or non-acute | Palliative Care (PCC) | Submission | 25 Sep 20 | 7 Oct 20 | 30 Sep 20 | 29 Sep 20 | 30 Sep 20 | 2 Oct 20 | 29 Sep 20 | 30 Sep 20 |
| | | Re-submission 1 | 30 Oct 20 | | | 27 Oct 20 | 28 Oct 20 | 30 Oct 20 | 28 Oct 20 | |
| Non-admitted | Non-Admitted Patient Level (NAP) | Submission | 25 Sep 20 | 7 Oct 20 | 30 Sep 20 | 29 Sep 20 | 28 Oct 20 | 30 Sep 20 | 29 Sep 20 | 30 Sep 20 |
| | | Re-submission 1 | 30 Oct 20 | | 21 Oct 20 | | | | 28 Oct 20 | |
| | Non-Admitted Aggregate (NAA) | Submission | 25 Sep 20 | 7 Oct 20 | 30 Sep 20 | 29 Sep 20 | 1 Oct 20 | 30 Sep 20 | N/A | 30 Sep 20 |
| | | Re-submission 1 | 30 Oct 20 | | 21 Oct 20 | | 20 Oct 20 | | | |
| Medicare PIN | Submission B | Submission | 9 Oct 20 | 7 Oct 20 | 7 Oct 20 | 29 Sep 20 | 30 Sep 20 | 30 Sep 20 | 29 Sep 20 | 30 Sep 20 |
| | | Re-submission 1 | 28 Oct 20 | | | 27 Oct 20 | 28 Oct 20 | 29 Oct 20 | 28 Oct 20 | |
| Sentinel Events | Sentinel Events | Submission | 29 Sep 20 | 7 Oct 20 | 30 Sep 20 | 25 Sep 20 | 30 Sep 20 | 30 Sep 20 | 30 Sep 20 | N/A |
| | | Re-submission 1 | | | | | 28 Oct 20 | | | |

Refer to page 7 for comments and footnotes.

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Comments:

* A Statement of Assurance is not required for the first quarter and third quarter period submission of activity data.

^ All clauses are from the National Health Reform Agreement 2011 (the Agreement), unless specified from the Addendum to the National Health Reform Agreement 2020-21 to 2024-25 (the Addendum).

Footnotes:

¹ Interim Service Agreements for the period 1 Jul 20 to 31 Dec 20 have been submitted to the Administrator with the exception of Albury NSW, Contracted Services, St Vincent's, and Sydney Children's Hospital.

² The number and mix of services provided in the service agreements is only provided for a six month period, estimate submissions are unable to be aligned to service agreements. However, a summary table is available on the NSW Health website which agrees to the estimate submission provided to the Administrator on 23 Sep 20 at the LHN and service category level.

³ Total level of funding through ABF is not reported on the basis of the national efficient price. Total level of Block funding is not available.

⁴ Service agreements for Mater Misericordiae and Queensland Virtual have not been provided to the Administrator.

⁵ Relevant data sets have been submitted in full by respective jurisdictions subsequent to due date.

⁶ Data Conditional Payment not applied due to relevant data sets being received from jurisdictions prior to the due date for the Administrator to provide advice to the Commonwealth Treasurer.