

# December 2020 Quarterly Compliance Report

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## Understanding the Administrator's Quarterly Compliance Report

Clause B102 of the National Health Reform Agreement 2011 requires the Administrator to publish details of Commonwealth, State and Territory compliance with the data requirements specified in the [Administrator's Three Year Data Plan 2020-21 to 2022-23](#). Clause A152 of the Addendum to the National Health Reform Agreement 2020-21 to 2024-25 requires the Administrator to publish additional information in the compliance reports.

The format of the Quarterly Compliance Reports follows a standard structure, with relevant data requirements reflected in each quarter. Further information on requirements can be found in the [Administrator's 2020-21 Data Compliance Policy](#).

## Quarterly Compliance Report – December 2020

### Executive Summary

The postponement of the Federal Budget 2020-21 due to the COVID-19 pandemic has also seen a deferral in State and Territory budgets, resulting in the delay of 2020-21 Service Agreements between States and Territories, and Local Hospital Networks (LHNs). Whilst it is noted in the December 2020 Quarterly Compliance Report that there are still some outstanding 2020-21 Service Agreements that have not been submitted to the Administrator, it is acknowledged that the quality and timeliness of submissions has improved over time.

Monthly reporting submissions for October 2020, November 2020 and December 2020 have improved significantly on last quarter with all States and Territories submitting reports before or on the due date of the 15<sup>th</sup> of the following month.

Submission A data for the first-quarter 2020-21 has been submitted before the due date of the 31 December 2020 for all States and Territories. This result is equal to the result for first-quarter 2019-20 submissions with all States and Territories submitting on time.

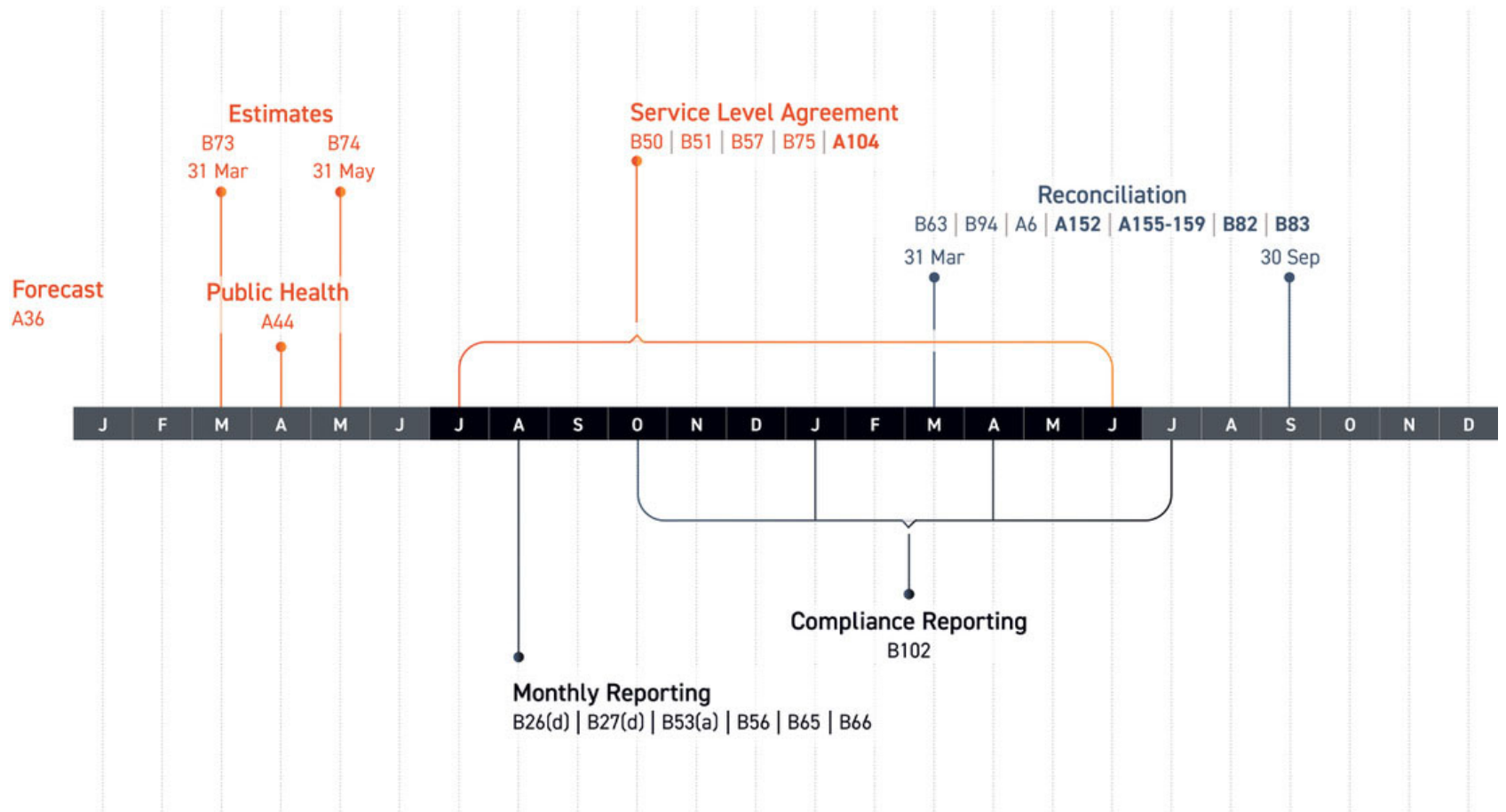
At the March 2020 Administrator's JAC meeting, a paper was provided on Service Agreements identifying inconsistencies in the reporting of National Health Reform Funding across States and Territories. Ongoing engagement with State and Territories is occurring to eliminate inconsistencies, with the footnotes in this report highlighting current inconsistencies.

# Quarterly Compliance Report – December 2020

## Data cycle

### Data Plan

B85
B86
B88
B91
B92
B93
B95
<b>A154</b>



### Key

Agreement  
| regular |

**Addendum\***  
| bold |

\* 2020-25 Addendum  
to the National Health  
Reform Agreement

## Quarterly Compliance Report – December 2020

Table 1: Compliance with the Administrator’s Three Year Data Plan

Data requirement	Clause^	Due date	Measurement	CW	NSW	VIC	QLD	WA	SA	TAS	ACT	NT
<b>Service Agreements and Data Provision</b>												
LHN Service Agreements 2020-21 provided to the Administrator	B75	Not applicable	Number of agreements submitted		16/19 <sup>1</sup>	0/43	14/15 <sup>5</sup>	6/6	9/11 <sup>6</sup>	1/1	0/1 <sup>7</sup>	2/2
LHN Service Agreements include the number of services to be provided by the LHN	D8(a)	Not applicable	Meets minimum requirements Yes or <u>No</u>		Yes	Not available	Yes	Yes	Yes	Yes	Not available	Yes
LHN Service Agreements include the mix of services to be provided by the LHN	D8(a)	Not applicable	Meets minimum requirements Yes or <u>No</u>		Yes	Not available	Yes	Yes	Yes	Yes	Not available	Yes
LHN Service Agreements include the level of ABF to be provided to the LHN reported on the basis of the national efficient price	D8(c)	Not applicable	Meets minimum requirements Yes or <u>No</u>		No <sup>2</sup>	Not available	No <sup>2</sup>	Yes	Yes	Yes	Not available	Yes
LHN Service Agreements include the level of Block Funding to be provided to the LHN	D8(c)	Not applicable	Meets minimum requirements Yes or <u>No</u>		No <sup>3</sup>	Not available	Yes	Yes	Yes	Yes	Not available	Yes
Update to estimated LHN NWAU 2020-21	B51 B57	Not applicable	Date Submitted or <u>No Update</u>		23 Sep 20	No update	10 Sep 20	No update	No update	3 Sep 20	No update	No update
LHN Service Agreements (Activity Based Funded LHNs only) and estimated LHN NWAU aligned	B51 B57	Not applicable	Number of agreements aligned		0/19 <sup>4</sup>	Not available	14/15 <sup>5</sup>	6/6	0/11	1/1	Not available	2/2
Public Health amounts	A44	Not applicable	Submitted or <u>Not Submitted</u>	Submitted								

Refer to page 7 for comments and footnotes.

## Quarterly Compliance Report – December 2020

Table 1: Compliance with the Administrator’s Three Year Data Plan (continued)

Data requirement	Clause <sup>a</sup>	Due date	Measurement	CW	NSW	VIC	QLD	WA	SA	TAS	ACT	NT
<b>Reconciliation requirements</b>												
Submission A (First-quarter 2020-21)	B63	31 Dec	Submitted or <u>Not Submitted</u>		Submitted	Submitted	Submitted	Submitted	Submitted	Submitted	Submitted	Submitted
Submission B (First-quarter 2020-21)	B94	Not required*	Submitted or <u>Not Submitted</u>		Not required	Not required	Not required	Not required	Not required	Not required	Not required	Not required
MBS and PBS data	A6	30 Sep	Submitted or <u>Not Submitted</u>	Submitted								
Statement of Assurance (First-quarter 2020-21)	B82 of the Addendum B83 of the Addendum	With each submission*	Submitted or <u>Not Submitted</u>	Not required	Not required	Not required	Not required	Not required	Not required	Not required	Not required	Not required
Data Conditional Payment	A156- A159 of the Addendum	Date applied	Not applied or <u>Applied</u> (if so, when)		Not applied <sup>8</sup>	Not applied <sup>8</sup>	Not applied <sup>8</sup>	Not applied <sup>8</sup>	Not applied <sup>8</sup>	Not applied <sup>8</sup>	Not applied <sup>8</sup>	Not applied <sup>8</sup>
Sentinel Events (First-quarter 2020-21)	A166 of the Addendum	Not required*	Submitted or <u>Not Submitted</u>		Not required	Not required	Not required	Not required	Not required	Not required	Not required	Not required
<b>Monthly reports</b>												
October 2020	B65-67	16 Nov 20 <sup>9</sup>	Date Submitted		13 Nov 20	10 Nov 20	13 Nov 20	13 Nov 20	9 Nov 20	10 Nov 20	5 Nov 20	13 Nov 20
November 2020	B65-67	15 Dec 20	Date Submitted		14 Dec 20	11 Dec 20	8 Dec 20	14 Dec 20	4 Dec 20	11 Dec 20	4 Dec 20	15 Dec 20
December 2020	B65-67	15 Jan 21	Date Submitted		12 Jan 21	14 Jan 21	12 Jan 21	14 Jan 21	8 Jan 21	7 Jan 21	7 Jan 21	14 Jan 21

Refer to page 7 for comments and footnotes.

## Quarterly Compliance Report – December 2020

Table 2: Submission and re-submission dates for first quarter 2020-21 data

Service category or Data type	Dataset	Submission or re-submission date	NSW	VIC	QLD	WA	SA	TAS	ACT	NT
Emergency	ED (ED Patient Level)	Submission	22 Dec 20	21 Dec 20	9 Dec 20	21 Dec 20	18 Dec 20	22 Dec 20	21 Dec 20	18 Dec 20
		Re-submission 1								11 Jan 21
	ES (ED Aggregate Level)	Submission	N/A	21 Dec 20	9 Dec 20	18 Dec 20	18 Dec 20	22 Dec 20	N/A	22 Dec 20
		Re-submission 1								
Acute admitted	Admitted Patient Care (APC)	Submission	22 Dec 20	21 Dec 20	9 Dec 20	21 Dec 20	18 Dec 20	22 Dec 20	21 Dec 20	18 Dec 20
Mental health		Re-submission 1								
Sub-acute or non-acute	Palliative Care (PCC)	Submission	17 Dec 20	21 Dec 20	9 Dec 20	21 Dec 20	18 Dec 20	23 Dec 20	21 Dec 20	18 Dec 20
		Re-submission 1	22 Dec 20							
Non-admitted	Non-Admitted Patient Level (NAP)	Submission	17 Dec 20	21 Dec 20	9 Dec 20	15 Dec 20	Not submitted	22 Dec 20	21 Dec 20	21 Dec 20
		Re-submission 1	22 Dec 20		7 Jan 21					
	Non-Admitted Aggregate (NAA)	Submission	17 Dec 20	21 Dec 20	9 Dec 20	15 Dec 20	21 Dec 20	N/A	N/A	N/A
		Re-submission 1								
Medicare PIN	Submission B	Submission	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Sentinel Events	Sentinel Events	Submission	N/A	21 Dec 20	N/A	17 Dec 20	N/A	N/A	N/A	N/A

Refer to page 7 for comments and footnotes.

## Quarterly Compliance Report – December 2020

### Comments:

\* Statement of Assurance, Submission B and Sentinel Events are not required for the first quarter and third quarter period submissions of activity data.

^ All clauses are from the National Health Reform Agreement 2011 (the Agreement), unless specified from the Addendum to the National Health Reform Agreement 2020-21 to 2024-25 (the Addendum).

### Footnotes:

<sup>1</sup> Service agreements for Albury NSW, Contracted Services, and St Vincent's have not been provided to the Administrator.

<sup>2</sup> Total level of funding through ABF is not reported on the basis of the national efficient price

<sup>3</sup> Total level of Block funding is not available, only Commonwealth contribution is provided for Block funding.

<sup>4</sup> Service agreements do not align to estimate submission provided on 23 September 2020.

<sup>5</sup> Service agreement for Queensland Virtual has not been provided to the Administrator.

<sup>6</sup> Service agreements for Central Adelaide and Flinders and Upper North have not yet been provided to the Administrator as they are still under negotiation.

<sup>7</sup> ACT Health provided written correspondence in October 2020 that the ACT Local Hospital Network service agreement will be available by end of March 2021.

<sup>8</sup> Data Conditional Payment not applied due to relevant data sets being received from jurisdictions prior to the due date for the Administrator to provide advice to the Commonwealth Treasurer.

<sup>9</sup> Monthly reporting due date for November 2020 moved to next business day.