

# September 2021 Quarterly Compliance Report

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## **Understanding the Administrator's Quarterly Compliance Report**

Clause B102 of the *National Health Reform Agreement 2011* (the Agreement) requires the Administrator to publish details of Commonwealth, State and Territory compliance with the data requirements specified in the [Administrator's Three Year Data Plan 2021-22 to 2023-24](#). Clause A152 of the *Addendum to the National Health Reform Agreement 2020-21 to 2024-25* (the Addendum) requires the Administrator to publish additional information in the compliance reports.

The format of the Quarterly Compliance Reports follows a standard structure, with relevant data requirements reflected in each quarter. Further information on requirements can be found in the [Administrator's 2021-22 Data Compliance Policy](#).

## Quarterly Compliance Report – September 2021

### Executive Summary

Five out of eight States and Territories have submitted 2021-22 Service Agreements to the Administrator. Western Australia provided interim Agreements to the Administrator ahead of their delayed 2021-22 Budget and will provide updated Service Agreements later in the 2021 calendar year. The Australian Capital Territory are also delayed in providing their Service Agreement due to the deferral of their 2021-22 Budget. Victoria are yet to provide any 2021-22 Service Agreements and provided their 2020-21 Service Agreements after the 2020-21 financial year concluded.

Following engagement with States and Territories on consistency and transparency of public hospital funding, 39 of the 44 Service Agreements submitted to the Administrator align to current data estimate submissions. There is further work to do on the consistency of Service Agreements with a significant number of Service Agreements missing required information.

Timeliness of data submissions to support the 2020-21 Annual Reconciliation have improved on the prior year with six out of eight States and Territories providing Submission A files on time, and six of eight providing Submission B files on time. However only seven of eight Statements of Assurance were provided, with only two received on time.

Monthly reporting continues to be completed by States and Territories on or before the due date of the 15th of the following month.

The Administrator will continue to work with States on providing greater transparency and consistency of public reporting throughout 2021-22, including through the Quarterly Compliance Report.

# Quarterly Compliance Report – September 2021

NATIONAL HEALTH FUNDING BODY

## Data cycle

### Data Plan

B66

B67

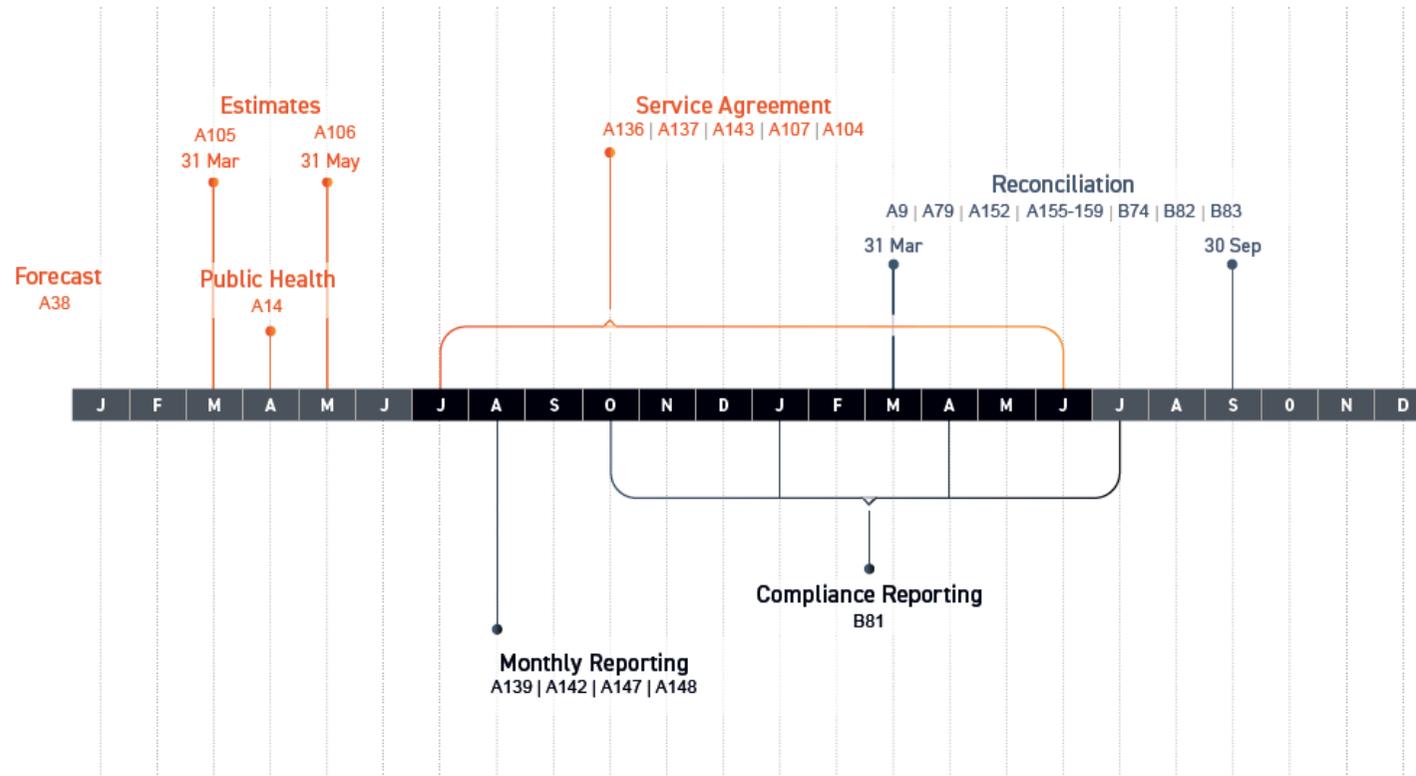
B70

B71

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A154



### Note

All clauses are from the Addendum to the National Health Reform Agreement 2020-21 to 2024-25 (the Addendum).

## Quarterly Compliance Report – September 2021

Table 1: Compliance with the Administrator’s Three-Year Data Plan

Data requirement	Clause^	Due date	Measurement	CW	NSW	VIC	QLD	WA	SA	TAS	ACT	NT
<b>Service Agreements and Data Provision</b>												
LHN Service Agreements 2021-22 provided to the Administrator	A107	Not applicable	Number of agreements submitted		17/19 <sup>1</sup>	Not Available	16/18 <sup>1</sup>	6/6 Interim	2/11 <sup>1</sup>	1/1	Not Available	1/1
LHN Service Agreements include the number of services to be provided by the LHN	E7(a)	Not applicable	Meets minimum requirements Yes or <u>No</u>		Yes	Not Available	Yes	Yes	Yes	Yes	Not Available	Yes
LHN Service Agreements include the mix of services to be provided by the LHN	E7(a)	Not applicable	Meets minimum requirements Yes or <u>No</u>		Yes	Not Available	Yes	Yes	Yes	Yes	Not Available	Yes
LHN Service Agreements include the level of ABF to be provided to the LHN reported on the basis of the national efficient price	E7(c)	Not applicable	Meets minimum requirements Yes or <u>No</u>		No <sup>2</sup>	Not Available	No <sup>3</sup>	Yes	Yes	Yes	Not Available	Yes
LHN Service Agreements include the level of Block Funding to be provided to the LHN	E7(c)	Not applicable	Meets minimum requirements Yes or <u>No</u>		Yes	Not Available	Yes	Yes	Yes	Yes	Not Available	Yes
Update to estimated LHN NWAU 2021-22	A137 A143	Not applicable	Date Submitted or <u>No Update</u>		5 Oct 21	No update	1 Sep 21	15 Oct 21	No update	8 Sep 21	No update	9 Sep 21
LHN Service Agreements (Activity Based Funded LHNs only) and estimated LHN NWAU aligned	A137 A143	Not applicable	Number of agreements aligned		17/19	Not Available	16/18	4/6	0/11	1/1	Not Available	1/1
Public Health amounts	A14	Not applicable	Submitted or <u>Not Submitted</u>	Submitted								

Refer to page 7 for comments and footnotes.

## Quarterly Compliance Report – September 2021

Table 1: Compliance with the Administrator’s Three-Year Data Plan (continued)

Data requirement	Clause <sup>a</sup>	Due date	Measurement	CW	NSW	VIC	QLD	WA	SA	TAS	ACT	NT
<b>Reconciliation requirements</b>												
Submission A (Annual 2020-21)	B79	30 Sep	<u>Submitted or Not Submitted</u>		Submitted <sup>6</sup>	Submitted <sup>5</sup>	Submitted	Submitted	Submitted	Submitted	Submitted	Submitted
Submission B (Annual 2020-21)	B74	30 Sep	<u>Submitted or Not Submitted</u>		Submitted <sup>6</sup>	Submitted	Submitted	Submitted	Submitted	Submitted	Submitted	Submitted <sup>4</sup>
Private Patient Revenue <sup>8</sup> (Annual 2020-21)	B73	30 Sep	<u>Submitted (if so, when) or Not Submitted</u>		Not Submitted	Not Submitted	Not Submitted	Not Submitted	Not Submitted	Not Submitted	Not Submitted	Not Submitted
MBS and PBS data (Annual 2020-21)	A9	30 Sep	<u>Submitted or Not Submitted</u>	Submitted								
Statement of Assurance	B82 B83	With each submission*	<u>Submitted or Not Submitted</u>	Submitted	Submitted <sup>6</sup>	Submitted <sup>5</sup>	Submitted <sup>5</sup>	Submitted	Submitted <sup>5</sup>	Submitted	Not Submitted	Submitted <sup>7</sup>
Data Conditional Payment	A156- A159	Date applied	<u>Not applied or Applied (if so, when)</u>		Not applied	Not applied	Not applied	Not applied	Not applied	Not applied	Not applied	Not applied
Sentinel Events (Annual 2020-21)	A166	30 Sep	<u>Submitted or Not Submitted</u>		Submitted <sup>6</sup>	Submitted <sup>5</sup>	Submitted	Submitted	Submitted	Submitted	Submitted	Submitted
<b>Monthly reports</b>												
July 2021	A147 A148	15 Aug	Date Submitted		11 Aug	4 Aug	10 Aug	11 Aug	10 Aug	12 Aug	4 Aug	13 Aug
August 2021	A147 A148	15 Sep	Date Submitted		13 Sep	13 Sep	8 Sep	15 Sep	9 Sep	14 Sep	10 Sep	14 Sep
September 2021	A147 A148	15 Oct	Date Submitted		12 Oct	12 Oct	13 Oct	14 Oct	11 Oct	14 Oct	11 Oct	14 Oct

Refer to page 7 for comments and footnotes.

## Quarterly Compliance Report – September 2021

Table 2: Submission and re-submission dates for 2020-21 annual data

Service category or Data type	Dataset	Submission or re-submission date	NSW	VIC	QLD	WA	SA	TAS	ACT	NT
Emergency	ED (ED Patient Level)	Submission	20 Oct 21	01 Oct 21	30 Sep 21	28 Sep 21	29 Sep 21	30 Sep 21	30 Sep 21	30 Sep 21
		Re-submission 1					27 Oct 21		29 Oct 21	28 Oct 21
	ES (ED Aggregate Level)	Submission	N/A	05 Oct 21	30 Sep 21	24 Sep 21	29 Sep 21	30 Sep 21	N/A	N/A
		Re-submission 1								
Acute admitted	Admitted Patient Care (APC)	Submission	20 Oct 21	01 Oct 21	30 Sep 21	28 Sep 21	29 Sep 21	30 Sep 21	30 Sep 21	30 Sep 21
Mental health		Re-submission 1					26 Oct 21		28 Oct 21	28 Oct 21
	Sub-acute or non-acute	Palliative Care (PCC)	Submission	20 Oct 21	01 Oct 21	30 Sep 21	28 Sep 21	29 Sep 21	30 Sep 21	30 Sep 21
Re-submission 1							26 Oct 21		28 Oct 21	28 Oct 21
Non-admitted	Non-Admitted Patient Level (NAP)	Submission	20 Oct 21	01 Oct 21	30 Sep 21	21 Sep 21	Not Submitted	30 Sep 21	30 Sep 21	30 Sep 21
		Re-submission 1					05 Oct 21	28 Oct 21	28 Oct 21	
		Re-submission 2					12 Oct 21			
	Non-Admitted Aggregate (NAA)	Submission	20 Oct 21	01 Oct 21	30 Sep 21	21 Sep 21	29 Sep 21	30 Sep 21	N/A	N/A
Re-submission 1										
Medicare PIN	Submission B	Submission	27 Oct 21	30 Sep 21	30 Sep 21	29 Sep 21	22 Sep 21	29 Sep 21	30 Sep 21	12 Oct 21 <sup>4</sup>
		Resubmission 1						12 Oct 21	29 Oct 21	
Sentinel Events	Sentinel Events	Submission	21 Oct 21	01 Oct 21	30 Sep 21	30 Sep 21	29 Sep 21	30 Sep 21	30 Sep 21	30 Sep 21
										28 Oct 21

Refer to page 7 for comments and footnotes.

## Quarterly Compliance Report – September 2021

### Comments:

<sup>^</sup> All clauses are from the Addendum to the National Health Reform Agreement 2020-21 to 2024-25 (the Addendum).

### Footnotes:

<sup>1</sup> Whilst some Service Agreements were provided from NSW, Qld and SA, Service Agreements were not provided for:

- NSW: Contracted Services or Albury NSW.
- QLD: Mater Misericordiae Health Service of Queensland Virtual.
- SA: Barossa Hills Fleurieu, Eyre and Far North, Flinders and Upper North, Limestone Coast, Northern Adelaide, Riverland Mallee Coorong, Southern Adelaide, Women's and Children or Yorke and Northern.

<sup>2</sup> The Commonwealth funding contribution for in-scope NWAUs is incorrectly reported as \$0 in Service Agreements.

<sup>3</sup> The NEP figure of \$5,597 is provided in Service Agreements, however the Commonwealth funding contribution for in-scope NWAUs is not provided.

<sup>4</sup> The delay in the Northern Territory's Submission B file was due to technical difficulties with accessing the data portal.

<sup>5</sup> Relevant data sets were submitted in full by respective jurisdictions within two weeks of the due date.

<sup>6</sup> NSW submitted relevant data sets on 20 October 2021, with their Submission B file and Statement of Assurance received 26 October 2021.

<sup>7</sup> NT submitted their Statement of Assurance on 28 October 2021.

<sup>8</sup> Further discussion between the Administrator, NHFB, IHPA and States and Territories are required to support the provision of requisite data from States and Territories.