

# December 2021 Quarterly Compliance Report

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## **Understanding the Administrator's Quarterly Compliance Report**

Clause B102 of the *National Health Reform Agreement 2011* (the Agreement) requires the Administrator to publish details of Commonwealth, State and Territory compliance with the data requirements specified in the [Administrator's Three Year Data Plan 2021-22 to 2023-24](#). Clause A152 of the *Addendum to the National Health Reform Agreement 2020-21 to 2024-25* (the Addendum) requires the Administrator to publish additional information in the compliance reports on dates data submitted or resubmitted by States.

The format of the Quarterly Compliance Reports follows a standard structure, with relevant data requirements reflected in each quarter. Further information on requirements can be found in the [Administrator's 2021-22 Data Compliance Policy](#).

# Quarterly Compliance Report – December 2021

## Executive Summary

### 2021-22 Service Agreements

Five out of eight States and Territories have submitted 2021-22 Service Agreements to the Administrator (New South Wales, Queensland, South Australia, Tasmania and the Northern Territory).

Western Australia provided interim Service Agreements to the Administrator due to the delay of their 2021-22 Budget to 9 September 2021. Updated Service Agreements are expected in early 2022. The Australian Capital Territory is also delayed in providing its Service Agreement due to the deferral of their 2021-22 Budget to 6 October 2021, with the Service Agreement expected in early 2022. Victoria is yet to provide any 2021-22 Service Agreements.

Following engagement with States and Territories on transparency of public hospital funding, 47 of the 52 Service Agreements submitted to the Administrator align to current data estimate submissions. While improvements have been made, there is further work to do on the consistency of Service Agreements with a number of Service Agreements yet to be provided or missing required information.

### Data submissions

Timeliness of data submissions to support the 2020-21 Annual Reconciliation improved on the prior year with six out of eight States and Territories providing Submission A files on time, and six of eight providing Submission B files on time. A summary of 2020-21 Annual Reconciliation data submissions is provided at [Attachment A](#).

With respect to the timeliness of data for the 2021-22 first quarter, seven out of eight States and Territories have provided Submission A files on time, with Submission B and associated Statement of Assurances not required for quarter one.

### Monthly Reporting

Monthly reporting continues to be completed by States and Territories on or before the due date of the 15th of the following month.

### Consistency and Transparency

The Administrator will continue to work with States on achieving greater transparency and consistency of public reporting throughout 2021-22, including through the Quarterly Compliance Report. The Administrator is required, under the Addendum, to provide an annual report for the period 2020-21 to 2024-25 on maintenance of funding effort.

# Quarterly Compliance Report – December 2021

NATIONAL HEALTH FUNDING BODY

## Data cycle

### Data Plan

B66

B67

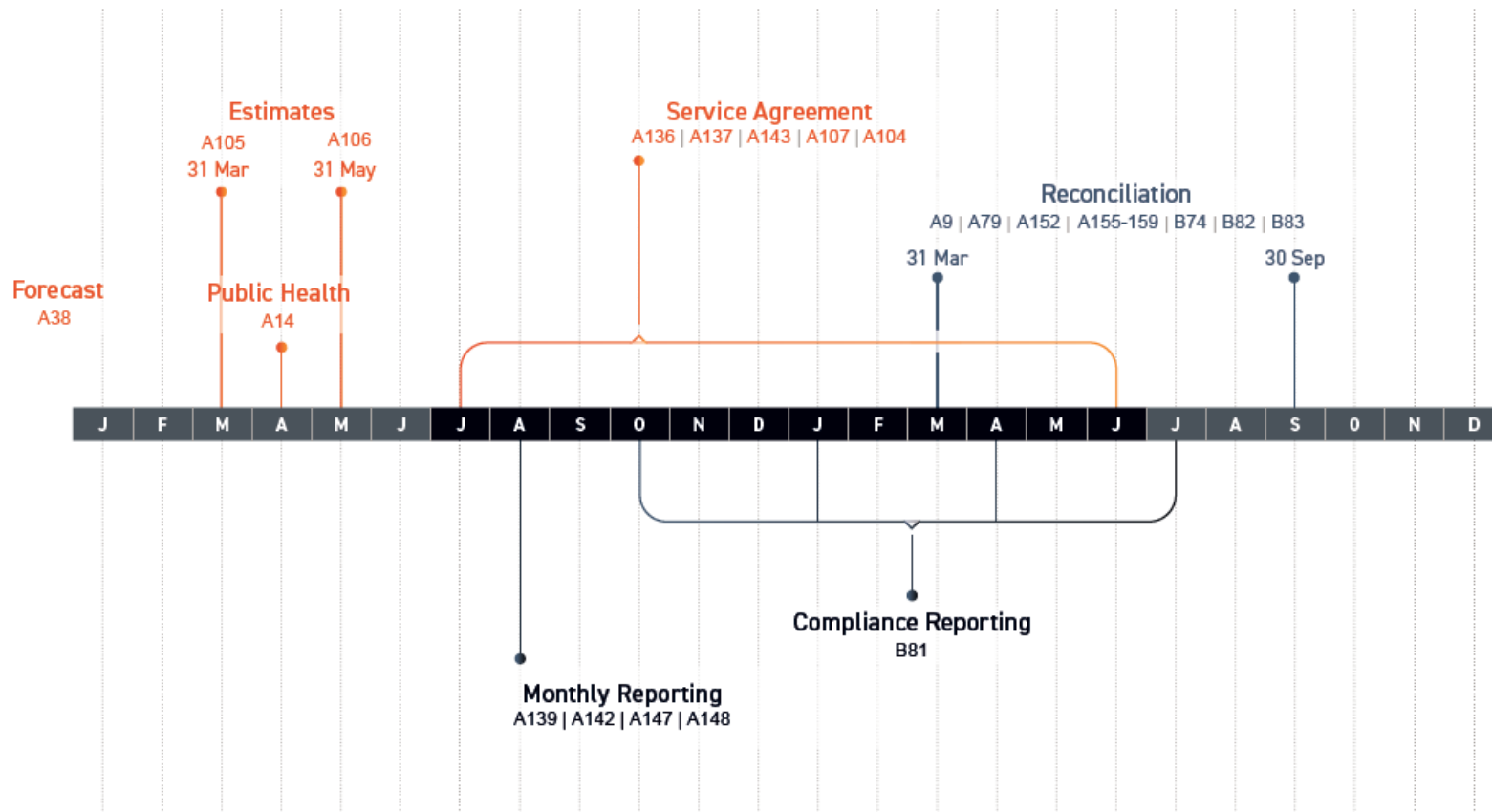
B70

B71

B72

B76

A154



### Note

All clauses are from the Addendum to the National Health Reform Agreement 2020-21 to 2024-25 (the Addendum).

## Quarterly Compliance Report – December 2021

Table 1: Service Agreement compliance with the Administrator’s Three-Year Data Plan

Data requirement	Clause^	Due date	Measurement	CW	NSW	VIC	QLD	WA	SA	TAS	ACT	NT
<b>Service Agreements and Public Health amounts</b>												
LHN Service Agreements 2021-22 provided to the Administrator	A107	Not applicable	Number of agreements submitted		17/19 <sup>1</sup>	Not Available	16/18 <sup>1</sup>	6/6 Interim	11/11	1/1	Not Available	1/1
LHN Service Agreements include the number of services to be provided by the LHN	E7(a)	Not applicable	Meets minimum requirements Yes or <u>No</u>		Yes	Not Available	Yes	Yes	Yes	Yes	Not Available	Yes
LHN Service Agreements include the mix of services to be provided by the LHN	E7(a)	Not applicable	Meets minimum requirements Yes or <u>No</u>		Yes	Not Available	Yes	Yes	Yes	Yes	Not Available	Yes
LHN Service Agreements include the level of ABF to be provided to the LHN reported on the basis of the national efficient price	E7(c)	Not applicable	Meets minimum requirements Yes or <u>No</u>		Yes	Not Available	Yes	Yes	Yes	Yes	Not Available	Yes
LHN Service Agreements include the level of Block Funding to be provided to the LHN	E7(c)	Not applicable	Meets minimum requirements Yes or <u>No</u>		Yes	Not Available	Yes	Yes	Yes	Yes	Not Available	Yes
Update to estimated LHN NWAU 2021-22	A137 A143	Not applicable	Date Submitted or <u>No Update</u>		5 Oct 21	20 Dec 21	30 Aug 21	16 Nov 21	2 Nov 21	8 Sep 21	No update	9 Sep 21
LHN Service Agreements (Activity Based Funded LHNs only) and estimated LHN NWAU aligned	A137 A143	Not applicable	Number of agreements aligned		17/19	Not Available	16/18	1/6	11/11	1/1	Not Available	1/1
Public Health amounts	A14	Not applicable	Submitted or <u>Not Submitted</u>	Submitted								

Refer to page 7 for comments and footnotes.

## Quarterly Compliance Report – December 2021

Table 2: Data submission compliance with the Administrator’s Three-Year Data Plan

Data requirement	Clause <sup>a</sup>	Due date	Measurement	CW	NSW	VIC	QLD	WA	SA	TAS	ACT	NT
<b>Reconciliation requirements for quarter 1 of 2021-22</b>												
Submission A (First quarter 2021-22)	B79	31 Dec	Submitted or <u>Not Submitted</u>		Partially submitted <sup>3</sup>	Submitted	Submitted	Submitted	Submitted	Submitted	Submitted	Submitted
Submission B (First quarter 2021-22)	B74	Not Required*	Submitted or <u>Not Submitted</u>									
Private Patient Revenue <sup>4</sup> (Annual 2021-22)	B73	30 Sep	Submitted (if so, when) or <u>Not Submitted</u>									
MBS and PBS data (Annual 2020-21)	A9	30 Sep	Submitted or <u>Not Submitted</u>	Submitted								
Statement of Assurance	B82 B83	Not required for first quarter	Submitted or <u>Not Submitted</u>				Submitted	Submitted				
Data Conditional Payment (Annual 2021-22)	A156- A159	Not Required*	Not applied or <u>Applied</u> (if so, when)									
Sentinel Events (First quarter 2021-22)	A166	Not required for first quarter	Submitted or <u>Not Submitted</u>			Submitted	Submitted	Submitted				
<b>Monthly reports</b>												
October 2021	A147 A148	15 Nov	Date Submitted		11 Nov	10 Nov	9 Nov	12 Nov	10 Nov	12 Nov	9 Nov	11 Nov
November 2021	A147 A148	15 Dec	Date Submitted		14 Dec	14 Dec	9 Dec	10 Dec	13 Dec	14 Dec	7 Dec	13 Dec
December 2021	A147 A148	15 Jan	Date Submitted		17 Jan	14 Jan	14 Jan	18 Jan	14 Jan	14 Jan	7 Jan	14 Jan

Refer to page 7 for comments and footnotes.

## Quarterly Compliance Report – December 2021

Table 3: Submission and re-submission dates for 2021-22 first quarter data

Service category or Data type	Dataset	Submission or re-submission date	NSW	VIC	QLD	WA	SA	TAS	ACT	NT
Emergency	ED (ED Patient Level)	Submission	15 Dec 21	22 Dec 21	17 Dec 21	20 Dec 21	22 Dec 21	22 Dec 21	22 Dec 21	20 Dec 21
	ES (ED Aggregate Level)	Submission	N/A	22 Dec 21	17 Dec 21	20 Dec 21	22 Dec 21	22 Dec 21	N/A	N/A
Acute admitted	Admitted Patient Care (APC)	Submission	14 Dec 21	22 Dec 21	17 Dec 21	20 Dec 21	22 Dec 21	22 Dec 21	22 Dec 21	20 Dec 21
Mental health	Palliative Care (PCC)	Submission	Not submitted <sup>3</sup>	22 Dec 21	17 Dec 21	20 Dec 21	22 Dec 21	22 Dec 21	22 Dec 21	20 Dec 21
Sub-acute or non-acute		Re-submission 1						12 Jan 22		
Non-admitted	Non-Admitted Patient Level (NAP)	Submission	17 Dec 21	22 Dec 21	17 Dec 21	17 Dec 21	22 Dec 21	22 Dec 21	22 Dec 21	20 Dec 21
	Non-Admitted Aggregate (NAA)	Submission	N/A	N/A	12 Jan 22	20 Dec 21	N/A	N/A	N/A	N/A
Medicare PIN	Submission B	Submission	N/A*	N/A*	N/A*	N/A*	N/A*	N/A*	N/A*	N/A*
Sentinel Events	Sentinel Events	Submission	N/A*	22 Dec 21	17 Dec 21	17 Dec 21	N/A*	N/A*	N/A*	N/A*

Refer to page 7 for comments and footnotes.

## Quarterly Compliance Report – December 2021

### Comments:

^ All clauses are from the Addendum to the National Health Reform Agreement 2020-21 to 2024-25 (the Addendum).

\* Statement of Assurance, Submission B and Sentinel Events are not required for the first quarter and third quarter period submissions of activity data. Data Conditional Payments are only applied to annual data submissions.

### Footnotes:

<sup>1</sup> Whilst some Service Agreements were provided from NSW and Qld, Service Agreements were not provided for:

- NSW: Albury and Contracted Services.
- QLD: Mater Misericordiae Health Service and Queensland Virtual.

<sup>3</sup> NSW was unable to supply subacute and palliative care data for first quarter 2021-22 due to a system upgrade problem.

<sup>4</sup> Further discussion between the Administrator, NHFB, IHPA and States and Territories is required to support the provision of private patient data from States and Territories.

## Quarterly Compliance Report – December 2021

### Attachment A: 2020-21 Annual Reconciliation Data Submission

Service category or Data type	Dataset	Submission or re-submission date	NSW	VIC	QLD	WA	SA	TAS	ACT	NT
Emergency	ED (ED Patient Level)	Submission	20 Oct 21	01 Oct 21	30 Sep 21	28 Sep 21	29 Sep 21	30 Sep 21	30 Sep 21	30 Sep 21
		Re-submission 1			24 Nov 21	27 Oct 21			29 Oct 21	28 Oct 21
	ES (ED Aggregate Level)	Submission	N/A	05 Oct 21	30 Sep 21	24 Sep 21	29 Sep 21	30 Sep 21	N/A	N/A
		Re-submission 1			26 Nov 21					
Acute admitted	Admitted Patient Care (APC)	Submission	20 Oct 21	01 Oct 21	30 Sep 21	28 Sep 21	29 Sep 21	30 Sep 21	30 Sep 21	30 Sep 21
		Re-submission 1			24 Nov 21	26 Oct 21			28 Oct 21	28 Oct 21
Mental health		Re-submission 2				20 Dec 21				
Sub-acute or non-acute	Palliative Care (PCC)	Submission	20 Oct 21	01 Oct 21	30 Sep 21	28 Sep 21	29 Sep 21	30 Sep 21	30 Sep 21	30 Sep 21
		Re-submission 1			24 Nov 21	26 Oct 21			28 Oct 21	28 Oct 21
Non-admitted	Non-Admitted Patient Level (NAP)	Submission	20 Oct 21	01 Oct 21	30 Sep 21	21 Sep 21	Not Submitted	30 Sep 21	30 Sep 21	30 Sep 21
		Re-submission 1					05 Oct 21	28 Oct 21	28 Oct 21	
		Re-submission 2					12 Oct 21			
	Non-Admitted Aggregate (NAA)	Submission	20 Oct 21	01 Oct 21	30 Sep 21	21 Sep 21	29 Sep 21	30 Sep 21	N/A	N/A
Re-submission 1				24 Nov 21						
Medicare PIN	Submission B	Submission	27 Oct 21	30 Sep 21	30 Sep 21	29 Sep 21	22 Sep 21	29 Sep 21	30 Sep 21	12 Oct 21 <sup>1</sup>
		Resubmission 1					12 Oct 21	29 Oct 21		
Sentinel Events	Sentinel Events	Submission	21 Oct 21	01 Oct 21	30 Sep 21	30 Sep 21	29 Sep 21	30 Sep 21	30 Sep 21	30 Sep 21
										28 Oct 21

#### Footnotes:

<sup>1</sup> The delay in the Northern Territory's Submission B file was due to technical difficulties with accessing the data portal.